

# Nitrogen assimilation in picocyanobacteria inhabiting the oxygen-deficient waters of the eastern tropical North and South Pacific

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## Abstract

*Prochlorococcus* and *Synechococcus* are the most abundant free-living photosynthetic microorganisms in the ocean. Uncultivated lineages of these picocyanobacteria also thrive in the dimly illuminated upper part of oxygen-deficient zones (ODZs), where an important portion of ocean nitrogen (N) loss takes place via denitrification and anaerobic ammonium oxidation. Recent metagenomic studies revealed that ODZ *Prochlorococcus* have the genetic potential for using different N forms, including nitrate and nitrite, uncommon N sources for *Prochlorococcus*, but common for *Synechococcus*. To determine which N sources ODZ picocyanobacteria are actually using in nature, the cellular <sup>15</sup>N natural abundance (δ<sup>15</sup>N) and assimilation rates of different N compounds were determined using cell sorting by flow cytometry and mass spectrometry. The natural δ<sup>15</sup>N of the ODZ *Prochlorococcus* varied from −4.0‰ to 13.0‰ (*n* = 9), with 50% of the values in the range of −2.1–2.6‰. While the highest values suggest nitrate use, most observations indicate the use of nitrite, ammonium, or a mixture of N sources. Meanwhile, incubation experiments revealed potential assimilation rates of ammonium and urea in the same order of magnitude as that expected for total N in several environments including ODZs, whereas rates of nitrite and nitrate assimilation were very low. Our results thus indicate that reduced forms of N and nitrite are the dominant sources for ODZ picocyanobacteria, although nitrate might be important on some occasions. ODZ picocyanobacteria might thus represent potential competitors with anammox bacteria for ammonium and nitrite, with ammonia-oxidizing archaea for ammonium, and with nitrite-oxidizing bacteria for nitrite.

*Prochlorococcus* and *Synechococcus* are the most abundant free-living photosynthetic microorganisms in the euphotic zone of oligotrophic tropical and subtropical ocean waters (Partensky et al. 1999a,b). These picocyanobacteria represent

~ 25% of marine primary productivity (Flombaum et al. 2013) and their successful colonization of the global ocean has been attributed to their small size and ability to take up nutrients at high rates (Chisholm 1992), as well as their high within species genetic diversity (Scanlan 2003; Biller et al. 2014).

The structure and pigment content of their photosynthetic apparatus differs between the two picocyanobacteria: *Synechococcus* uses a phycobilisome as the main light-harvesting antenna while *Prochlorococcus* lacks phycobilisomes and uses a complex of chlorophyll-binding proteins (Pcb) (Partensky et al. 1999b). The Pcb complex contains divinyl chlorophyll *a* (Chl *a*<sub>2</sub>) and divinyl chlorophyll *b* (Chl *b*<sub>2</sub>). This complex allows them to capture light more efficiently at greater depths (Moore and Chisholm 1999). Indeed, some lineages of *Prochlorococcus* with high ratios of Chl *b*<sub>2</sub>/*a*<sub>2</sub> content are able to grow at extremely

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low irradiances ( $< 10 \text{ mol quanta m}^{-2} \text{ s}^{-1}$ ), where low Chl  $b_2/a_2$  lineages are incapable of such growth. Conversely, low Chl  $b_2/a_2$  lineages are able to grow maximally at higher light intensities where high Chl  $b_2/a_2$  isolates are inhibited (Moore and Chisholm 1999). Thus, the depth distributions of *Prochlorococcus* lineages are consistent with these characteristics: members of the high light (HL) ecotypes are found mainly in the nutrient-depleted surface waters of the ocean, while members of the low light (LL) ecotypes are dominant at the base of the euphotic zone where nutrients are replete (Goerick et al. 2000; West et al. 2001; Rocap et al. 2003; Johnson et al. 2006; Lavin et al. 2010). While *Prochlorococcus* shows a clear vertical partitioning of ecotypes related principally with light and the availability of nutrients, *Synechococcus* lineages do not show a clear spatial partitioning with depth (Moore et al. 1998; Biller et al. 2014).

As for other phytoplankton, the assimilation of N is central to picocyanobacteria nutrition, with ammonium ( $\text{NH}_4^+$ ) as the preferred N source due to the low energy needed for its assimilation into organic N (Moore et al. 2002). There are indications that *Prochlorococcus* and *Synechococcus* lineages have diverged in the ability to use also other forms of N that are available in the ocean, such as nitrate ( $\text{NO}_3^-$ ) and nitrite ( $\text{NO}_2^-$ ) (Moore et al. 2002; Martiny et al. 2009), cyanate (Fuhrman 2003; Kamennaya and Post 2011), and amino acids (Zubkov et al. 2003). Initially, *Prochlorococcus* was considered unable to use nitrate ( $\text{NO}_3^-$ ), because the original cultured isolates could not use it (Moore et al. 2002). Nevertheless, more recent studies have demonstrated genomic (Martiny et al. 2009; Batmalle et al. 2014; Berube et al. 2015) and indirect physiological (Casey et al. 2007) evidence of  $\text{NO}_3^-$  assimilation, in both cultured *Prochlorococcus* and natural assemblages of *Prochlorococcus*. Analyzing the genomes of different strains of *Prochlorococcus*, Berube et al. (2015) showed that the genomic configuration of the genes related to the  $\text{NO}_3^-$  assimilation differed among *Prochlorococcus* strains. They presented evidence of acquisition, loss, and horizontal transfer of  $\text{NO}_3^-$  assimilation related genes for some HL strains, as well as evidence of retention of those genes in some members of the LL ecotypes during the evolutionary diversification from their common ancestor with *Synechococcus*.

The most basal *Prochlorococcus* lineages found to date are noncultured representatives (LLV and LLVI) inhabiting the secondary chlorophyll maximum (SCM) in the oxygen-deficient waters of the eastern tropical North and South Pacific (ETNP and ETSP), which are characterized as adapted to very low-light and nutrient-rich conditions (Lavin et al. 2010; Ulloa et al. 2012). These SCMs have been found specifically in the oxygen-deficient zones (ODZs), also known as anoxic marine zones (AMZs), that are distinguished from other oxygen minimum zones by the accumulation of nitrite and the complete absence of detectable oxygen using the most sensitive detectors such as the STOX oxygen sensor (detection limits  $1\text{--}10 \text{ nmol L}^{-1}$ ; Revsbech et al. 2009; Thamdrup et al. 2012; Ulloa et al. 2012). Nevertheless, these *Prochlorococcus*

have recently been shown to drive a cryptic oxygen cycle that possibly fuels aerobic processes such as  $\text{NO}_2^-$ -oxidation (García-Robledo et al. 2017).

In the ODZs, there is an accumulation of  $\text{NO}_2^-$ , mainly due to dissimilatory nitrate reduction (Ward et al. 2009; Lam and Kuypers 2011) that only takes place when oxygen levels are below  $50 \text{ nmol L}^{-1}$  (Thamdrup et al. 2012). Within the ODZs, there are high concentrations of inorganic nutrients and a very active nitrogen (N) cycle mediated by anaerobic microorganisms (Lam and Kuypers 2011). The forms and abundance of bioavailable N present, as well as the sources of energy supporting their assimilation, are important factors controlling the growth of *Prochlorococcus* and *Synechococcus*. Any inorganic N taken up must be converted to  $\text{NH}_4^+$  for incorporation into vital compounds such as amino acids or nucleic acids (Berges and Mulholland 2008), so assimilation of reduced forms of N such as  $\text{NH}_4^+$  or urea is metabolically favored when they are available (García-Fernández et al. 2004; García-Fernández and Diez 2004; Berges and Mulholland 2008). However, the most common forms of N in such extremely ODZs are  $\text{NO}_3^-$  and  $\text{NO}_2^-$  (Ulloa et al. 2012) with very low ( $\text{nmol L}^{-1}$ ) to undetectable concentrations of  $\text{NH}_4^+$  and undetectable concentrations of urea using standard methods (Thamdrup et al. 2006; Hamersley et al. 2007; Widner et al. 2018b). Thus, in contrast to the picocyanobacteria in the primary chlorophyll maximum (PCM) above the oxycline, the ODZ cyanobacteria inhabit a high  $\text{NO}_3^-$  and  $\text{NO}_2^-$  environment.

Reconstruction of a metagenome using environmental samples collected from the SCM in the ETSP showed that these ODZ lineages have the genetic potential to assimilate urea and  $\text{NO}_3^-$  (Astorga-Eló et al. 2015), having a full repertoire of genes involved in  $\text{NO}_3^-$  transport (*napA*),  $\text{NO}_3^-$  assimilation (*narB*), and biosynthesis of the Mo-cofactor (*moeA* and *mobA*) necessary for the *narB* function (Flores and Herrero 2005). Astorga-Eló et al. (2015) also suggested that this pathway was retained during *Prochlorococcus* divergence from *Synechococcus* rather than a secondary horizontal gain (Astorga-Eló et al. 2015). This scenario is similar to what occurred in lineage LLIV, a lineage that appears not to be affected by the genome reduction documented in the more recently diverged lineages of *Prochlorococcus* (e.g., HL strain MED4) (Partensky and Garczarek 2010). Lately, Widner et al. (2018a) showed that LLIV *Prochlorococcus* inhabiting the ODZ also have genes for the utilization of  $\text{NH}_4^+$  and  $\text{NO}_2^-$ , although no cyanate assimilation related genes were found.

The capacity for utilization of  $\text{NO}_3^-$  or  $\text{NO}_2^-$  might confer to ODZ *Prochlorococcus* an ecological advantage over *Prochlorococcus* lineages that do not have the genes needed to assimilate these compounds, allowing them to take advantage of the high  $\text{NO}_3^-$  concentrations (with respect to  $\text{NH}_4^+$  and/or urea) present in the SCM in the ODZs. This capability might also allow ODZ *Prochlorococcus* to avoid competition for  $\text{NH}_4^+$  with important groups of microorganisms recycling N in these regions, such as anammox bacterial and ammonia-oxidizing archaea. Thus,

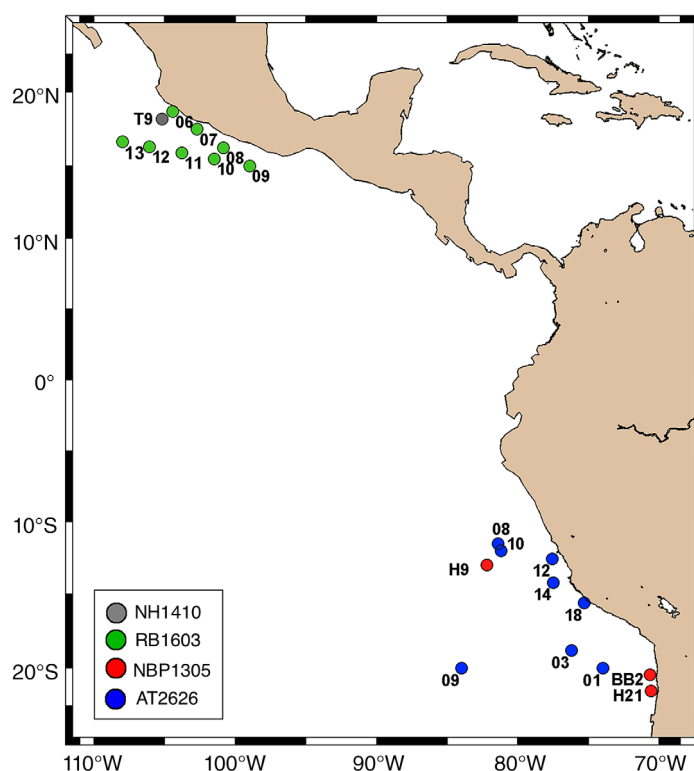
a further knowledge about the metabolic capabilities and physiology of ODZ *Prochlorococcus* and the less abundant, but present, *Synechococcus*-like lineages inhabiting the SCM within the ODZ is essential for understanding their role in ODZs and how they may use this niche as an advantage over other lineages that cannot consume  $\text{NO}_3^-$ . Therefore, in this work, we focus on testing the hypothesis, based on apparent genomic potential, that *Prochlorococcus* uses  $\text{NO}_3^-$  as a N source for its assimilative metabolism.

Stable isotopes analysis is a powerful tool for investigating this hypothesis.  $\delta^{15}\text{N}$  notation represents the deviation between the ratio of the two stable isotopes of N ( $^{15}\text{N}/^{14}\text{N}$ ) contained in the samples (particulate organic nitrogen and/or selected sorted picoplanktonic groups) compared with the ratio of these same two stable isotopes of N contained in the atmospheric  $\text{N}_2$  (standard) (Owens 1987). Comparing the  $\delta^{15}\text{N}$  of the organisms that inhabit an environment with the  $\delta^{15}\text{N}$  of the different N sources available for assimilation in a specific environment (e.g.,  $\delta^{15}\text{N}$  of  $\text{NO}_3^-$  and  $\text{NO}_2^-$ ) can help determine which sources of N are being used by the organisms. Previous work has coupled the use of stable isotopes and cell sorting, allowing the characterization of the N content and the natural abundance  $\delta^{15}\text{N}$  signature of distinct components of the particulate nitrogen suspended in Sargasso Sea surface waters (Fawcett et al. 2011) and specific uptake rates of different  $\delta^{15}\text{N}$ -labeled sources of N by *Prochlorococcus* (Casey et al. 2007). In this study, we analyzed the natural abundance of  $\delta^{15}\text{N}$  of suspended particulate organic nitrogen ( $\text{PON}_{\text{sus}}$ ) and *Prochlorococcus* and *Synechococcus*-like cells sorted from the microbial community inhabiting the SCM (and PCM when SCM was present) at several stations in the ODZ in both ETNP and ETSP. These  $\delta^{15}\text{N}$  data were compared with literature values of  $\delta^{15}\text{N}$  natural abundance signatures of different sources of N. Complementary experimental evidence for the uptake of N compounds was derived from onboard tracer incubations in which natural seawater samples collected from the SCM were amended with  $^{15}\text{N}$ -labeled  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ ,  $\text{NH}_4^+$ , and urea to measure potential assimilation rates in flow cytometrically sorted groups of picoplankton.

## Materials and methods

### Sampling site and field collection

Samples were obtained from the ODZs of the ETNP and ETSP, during four cruises: NH1410 (May 2014) and RB1603 (April 2016) for the ETNP, and NBP1305 (June 2013) and AT2626 (January 2015) for the ETSP (see station map in Fig. 1). Samples were taken from two depths, one from the PCM and the other from the SCM. The collection of water samples at both depths was performed using a pump profiler system (PPS); an instrument that pumps water directly from the desired depth while profiling the water column with an attached conductivity-temperature-depth (CTD) system (Seabird SBE-19 plus for ETNP and Seabird SBE-25 for ETSP), which provides



**Fig. 1.** Map with the sampled stations in the ETNP (cruises: NH14010 and RB1603) and the ETSP (cruises: NBP1305 and AT2626).

continuous determinations of salinity, temperature, depth, as well as dissolved oxygen (Seabird SBE 43 oxygen sensor; all cruises) and in vivo fluorescence (WETStar for ETNP cruise and ECO-AFL/FL for ETSP cruises, both WET Labs fluorometers).

$\text{NO}_3^-$ ,  $\text{NO}_2^-$ , and urea samples were run on an Astoria Pacific autoanalyzer using standard colorimetric methods according to the manufacturers specifications.  $\text{NH}_4^+$  was determined using the fluorometric method of Holmes et al. (1999). In April 2016 (ETNP; cruise RB1603), seawater was pumped into the laboratory and connected to an auto analyzer for nutrients continuous profiles for  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ , and  $\text{NH}_4^+$ , binned to one measurement per second.

### $\delta^{15}\text{N}$ natural abundance

$\text{PON}_{\text{sus}}$  (0.3–3  $\mu\text{m}$  size fraction)  $\delta^{15}\text{N}$  natural abundance was determined by filtering 2–3 L of seawater through a 3- $\mu\text{m}$  pore size polycarbonate membrane filter and collecting the microbial biomass on a precombusted (500°C for 6 h) 0.3- $\mu\text{m}$  glass fiber filter (Sterlitech GF-75; 0.3  $\mu\text{m}$  nominal pore size). The GF-75 filters were dried onboard or frozen in liquid nitrogen. Once in the laboratory, GF-75 filters were fumed with HCl vapors for 8 h to drive off inorganic C and then dried and encapsulated in tin capsules for analysis at the University of California Davis Stable Isotope Facility (mass detection limit 20  $\mu\text{g}$  of N).

For determining  $\delta^{15}\text{N}$  natural abundance of sorted groups of cells, 4 L of seawater from the PCM and SCM depths were collected in plastic carboys protected from sunlight. This water was immediately filtered at a very low speed using a peristaltic pump in order to concentrate the cells of this community on 0.4  $\mu\text{m}$  pore size polycarbonate filters after a 3- $\mu\text{m}$  pore size polycarbonate prefilter. To fix the cells, the filters were placed in 4-mL cryovials with 200  $\mu\text{L}$  of 10% formaldehyde solution and filled to 4 mL using 0.22- $\mu\text{m}$  prefiltered seawater (0.5% formaldehyde final concentration). After 1 h of incubation in the dark at 4°C, the samples were gently agitated and stored in liquid nitrogen until reaching land, upon which the samples were stored at -80°C until processing.

### Incubation experiments

For incubation experiments, water was collected in a 20-liter glass bottle and purged for 20 min with a mixture of 800 ppm  $\text{CO}_2$  balanced He in order to avoid any oxygen contamination during the sampling. This water was siphoned to 6–12 custom-made incubation bottles (1.1 liter) for anoxic experiments. These bottles were previously purged with the same mixture of He/ $\text{CO}_2$  and were filled overflowing the water approximately 1.5 times their volume. Finally, the bottles were placed in an incubator inside a temperature-controlled cold van (see diagram in Supporting Information Fig. S1). The incubator was composed of a temperature-controlled water bath, two blue LED panels with controlled intensities of light, as well as of magnetic stirrers that prevent stratification of the water inside each incubation bottle. The incubation conditions were set to simulate in situ temperature (ranging between 14°C and 16°C depending on the station) and light intensities ( $10\text{--}30\ \mu\text{mol photons m}^{-2}\ \text{s}^{-1}$ ). Four different  $^{15}\text{N}$ -labeled compounds (Cambridge Isotope Laboratories) were used to assess the potential N assimilation rates in the picoplanktonic community. Because environmental nutrient concentrations were measured only after incubations were started, the enrichment of the N sources exceeded 10–15% -the enrichment recommended for in situ assimilation rates- and so represents potential assimilation rates (Dugdale and Wilkerson 1986). Measured final concentrations of  $^{15}\text{N}\text{-NO}_3^-$  and  $^{15}\text{N}\text{-NO}_2^-$  were  $5.5\ \mu\text{mol L}^{-1}$  and  $0.2\ \mu\text{mol L}^{-1}$ , respectively. These concentrations represented enrichments of 19.6–27.2% for  $^{15}\text{N}\text{-NO}_3^-$  and 47.6% for  $^{15}\text{N}\text{-NO}_2^-$ . The in situ concentrations of urea were undetectable (detection limit  $70\ \text{nmol L}^{-1}$ ) and in the  $\text{nmol L}^{-1}$  ranges for  $\text{NH}_4^+$  (detection limit  $10\ \text{nmol L}^{-1}$ ). The additions of  $^{15}\text{N}$ -Urea and  $^{15}\text{N}\text{-NH}_4^+$  were  $0.2\ \mu\text{mol L}^{-1}$  and  $0.18\ \mu\text{mol L}^{-1}$  final concentration, respectively, representing an enrichment of 74.1% for  $^{15}\text{N}$ -urea and 88.2% for  $^{15}\text{N}\text{-NH}_4^+$ . Since urea was undetectable, the detection limit of the method ( $70\ \text{nmol L}^{-1}$ ) was used for the enrichment calculation. After 12 h of incubation, the picoplankton from each bottle was concentrated by filtration and stored as described above for  $^{15}\text{N}$  natural abundance measurements.

### Flow cytometric cell sorting

Both the natural abundance and isotopically enriched samples were analyzed following Fawcett et al. (2011) with some modifications: samples were thawed to room temperature and were gently stirred to detach the cells from the filter. When necessary, samples were diluted using 0.22  $\mu\text{m}$  filtered NaCl (3.5% by weight). Isolation of groups was performed using an InFlux® Flow Cytometer-Cell Sorter (formerly Cytopeia, BD Biosciences, San Jose, CA, U.S.A.) equipped with five lasers (488-nm [200 mW], 457-nm [300 mW], 532-nm [150 mW], 355-nm [100 mW], and 640-nm [50 mW]) using an 86- $\mu\text{m}$  ceramic black nozzle tip and a sheath pressure of 227.5 kPa. Sheath fluid was prepared daily using molecular biology grade NaCl (3.5% by weight) and purified (Milli-Q) water, filtered through 0.22- $\mu\text{m}$  Steripak filter unit (Merck Millipore, SPGPM20RJ) and passed through an in-line 0.22- $\mu\text{m}$  Sterivex filter unit (Merck Millipore, SVGVL10RC). Ultra rainbow fluorescent particles (1 and 3  $\mu\text{m}$ , Spherotech, Lake Forest, IL, U.S.A.) were used for alignment and calibration. For picophytoplanktonic cells, sort gates were optimized based on the autofluorescence of each group. *Prochlorococcus* cells were gated based on their red fluorescence (692/40 nm; for each fluorescence emission filter, the center wavelength and band-pass width are given) using a combination of the 457 and 488 nm blue lasers. *Synechococcus*-like cells were gated based on their orange fluorescence (530/40 nm) using the 488 (blue) and 532 nm (green) lasers and photosynthetic picophytoeukaryotes (PPEs) were gated based on their red fluorescence (692/40 nm) using the 488 nm blue laser. Events were triggered on the forward light scatter. Nonpigmented cells were stained with Sybr Green I as described in Marie et al. (1999). Events were triggered based on green (530/15) fluorescence excited by the 488 nm laser and cells detected by their green fluorescence (530/15 nm) and absence of red fluorescence. Samples were run at an average flow rate of  $30\ \mu\text{L min}^{-1}$ , monitored with a liquid flowmeter (Sensirion, U.S.A.) and the event rate was  $10,000\text{--}15,000\ \text{events s}^{-1}$ . Cells were sorted in purity mode using two tubes configuration, the drop delay was calculated using the calibration procedure with the Spigot Software and an epifluorescence microscope. Cytometry files were analyzed with the FlowJo Software (FlowJo, Ashland, OR, U.S.A.) and plotted using R software (ggplot package). The groups isolated were filtered on 0.3  $\mu\text{m}$  pore size precombusted glass fiber filters (Sterlitech GF-75; 0.3  $\mu\text{m}$  nominal pore size) and dried in an oven at 40°C. These filters were placed in precombusted aluminum envelopes and stored free of humidity until persulfate oxidation.

### Persulfate oxidation and denitrifier method

The filters containing both natural abundance and  $^{15}\text{N}$ -enriched cells were placed in 4-mL precombusted (500°C for 5 h) glass vials. Two milliliters of persulfate-oxidation reagent (POR; 3 g of NaOH in 60 mL of deionized water + 3 g of 5X recrystallized persulfate in 60 mL of deionized water) was added to each vial. Isotopic reference for organic-bound

nitrogen (USGS40, USGS41, L-glutamic acid) and blanks filters were treated with the same 2 mL of POR. All samples, standards, and blanks were autoclaved at 121°C for 30 min. At this point, all the organic nitrogen content was oxidized to  $\text{NO}_3^-$  and its concentration was measured by reduction to nitric oxide and detection in a chemiluminescent detector (Teledyne model #200 EU; Garside 1982). To obtain  $\delta^{15}\text{N}$  values, we used the “denitrifier method” (Sigman et al. 2001; 10–20 nmol N optimal), which is based on the isotopic analysis of nitrous oxide ( $\text{N}_2\text{O}$ ) generated by the action of denitrifying bacteria that convert  $\text{NO}_3^-$  to  $\text{N}_2\text{O}$  and lack  $\text{N}_2\text{O}$ -reductase. The isotopic composition of the  $\text{N}_2\text{O}$  was measured by gas chromatography-isotope ratio mass spectrometry using a modified ThermoFinnigan GasBench II and Delta V. Final measurements were corrected by blanks.

### N assimilation rates calculations

The cell-specific uptake rates ( $\rho_{\text{DINcells}}$ ; fg N cell<sup>-1</sup> h<sup>-1</sup>) of dissolved inorganic nitrogen (DIN) were calculated for each N source. The equations used are provided in Dugdale and Wilkerson (1986) with some modification applied to cell-specific assimilation rates:

$$V = \frac{R_{(\text{cells})}}{R_{(\text{DIN})}} \times T \quad (1)$$

$$\rho_{\text{DINcells}} = V \times \frac{N}{\text{CS}} \quad (2)$$

where  $V$  is the specific uptake rate (h<sup>-1</sup>);  $R_{(\text{cells})}$  =  $^{15}\text{N}$  atom percent in the cells at the end of the incubation –  $^{15}\text{N}$  atom percent in the cells initial;  $R_{(\text{DIN})}$  =  $^{15}\text{N}$  atom percent enrichment in DIN –  $^{15}\text{N}$  atom percent in the cells initial;  $T$  represents the incubation time (hours);  $N$  represents the amount of N in the analyzed sorted cells (fg N); and  $\text{CS}$  = number of sorted cells for isotope analysis (cells).

Group-specific uptake rates ( $\rho_{\text{DIN group}}$ ; nmol L<sup>-1</sup> d<sup>-1</sup>) were calculated as follows:

$$\rho_{\text{DINgroup}} = \rho_{\text{DINcells}} \times \text{ISA} \quad (3)$$

where ISA is the average in situ abundance of each group of sorted cells (cells L<sup>-1</sup>).

When the tracer additions resulted in initial enrichments exceeding 50%, rates should be considered as potential uptake.

The detection limit of each uptake rate was calculated in order to determine if those rates were significantly different from zero. The detection limit was calculated following the specifications of Santoro et al. (2013) as the N ( $\text{NH}_4^+$ , urea,  $\text{NO}_3^-$ ,  $\text{NO}_2^-$ ) uptake rate necessary to cause a 1‰ increase in  $\delta^{15}\text{N}$  of sorted cells from the initial value ( $\delta^{15}\text{N}$  natural abundance). The 1‰ value represents twice the precision of  $\delta^{15}\text{N}$  analysis using the denitrifier method (precision = 0.5‰; Sigman et al. 2001; McIlvin and Casciotti 2011).

### Statistical analysis

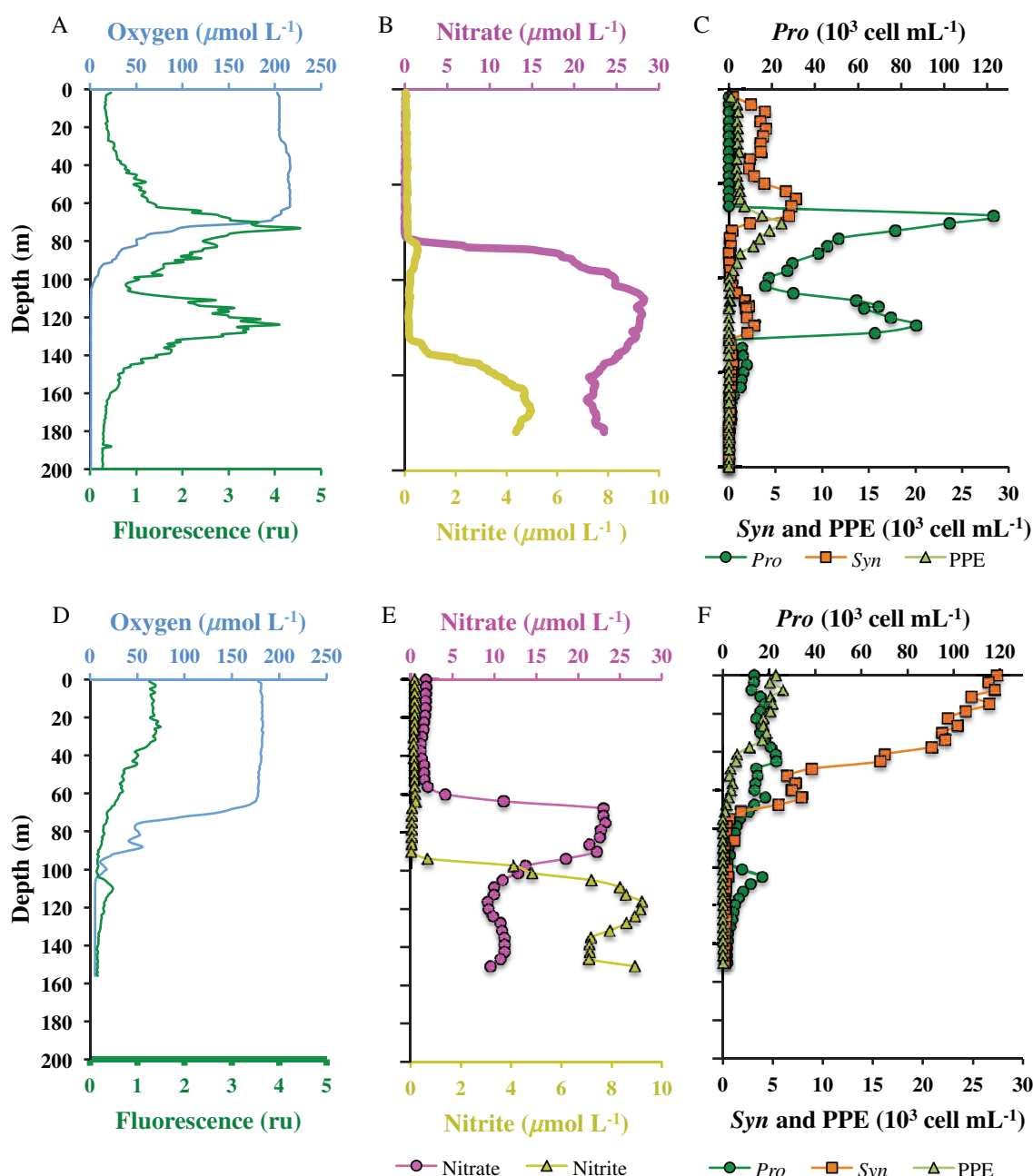
Statistical differences among natural  $\delta^{15}\text{N}$  for  $\text{PON}_{\text{SUS}}$  and sorted groups of cells (*Pro*, *Syn*) were tested using Wilcoxon and the Kruskal-Wallis test. Statistical significance was set at the 0.05 level. The correlations between  $\delta^{15}\text{N}$  of *Prochlorococcus*,  $\delta^{15}\text{N}$  *Synechococcus*-like, and environmental factors (nutrient concentrations and light %) were calculated using Pearson's correlation analysis. The variables were logarithmic transformed as  $\text{Log}_{10}(X + 1)$  and the Pearson's correlation coefficients were tested for significance at  $\alpha = 0.05$  using XLStat software (AddinSoft SARL).

## Results

### Water column structure and nutrient content

The structure of the water column at all experimental stations exhibited a SCM in the ODZ like the examples represented in Fig. 2 (summarized in Tables 1–2). The  $\text{O}_2$  profile shows the typical distribution with a surface oxygenated layer ranging in depth between 22 and 80 m for the ETNP, and 34 and 89 m for the ETSP, with  $\text{O}_2$  concentrations ranging from 197 to 215  $\mu\text{mol L}^{-1}$  for the ETNP and 208 to 267  $\mu\text{mol L}^{-1}$  for the ETSP. Below the mixed layer,  $\text{O}_2$  concentrations decreased rapidly depth, reaching anoxia at 62–130 m depth (depending of the station and proximity to the coast). The SCM was found within the upper anoxic layer, with the peak at average depths of 114 m (SD = 28 m; min = 90 m; max = 160 m) for the ETNP and 100 m (SD = 21 m; min = 68 m; max = 130 m) for the ETSP. The SCM varied in intensity (see examples in Fig. 2A,D) with a maximum fluorescence equaling or almost doubling that of the PCM at some stations (Table 1).

$\text{NH}_4^+$  concentrations (detection limit = 10 nmol L<sup>-1</sup>) for the SCM presented no major differences between the two regions, reaching an average of 18.4 nmol L<sup>-1</sup> for the ETNP (SD = 28.3 nmol L<sup>-1</sup>; min = bdl; max = 72.4 nmol L<sup>-1</sup>) and 27.5 nmol L<sup>-1</sup> for the ETSP (SD = 29.2 nmol L<sup>-1</sup>; min = bdl; max = 88.4 nmol L<sup>-1</sup>).  $\text{NH}_4^+$  concentrations in the PCM tended to be higher, reaching on average 36.2 nmol L<sup>-1</sup> (SD = 62.3 nmol L<sup>-1</sup>, min = bdl, max = 191.9 nmol L<sup>-1</sup>) in the ETNP and one order of magnitude more abundant in the ETSP with 481.2 nmol L<sup>-1</sup> (SD = 211.2 nmol L<sup>-1</sup>; min = 108.2 nmol L<sup>-1</sup>; max = 822.7 nmol L<sup>-1</sup>) (Table 2). Samples for urea determination were obtained from both oceans in two cruises (ETNP-RB1603 and ETSP-AT2626), but almost all measurements for the SCM were below the detection limit of the method (70 nmol L<sup>-1</sup>) with only two stations of the cruise RB1603 reaching concentrations of 0.22  $\mu\text{mol L}^{-1}$  (Sta. 9) and 1.02  $\mu\text{mol L}^{-1}$  (Sta. 10).  $\text{NO}_3^-$  and  $\text{NO}_2^-$  profiles (Fig. 2B,E) showed the typical structure for an ODZ (Ulloa et al. 2012). In the surface, both nutrients were depleted, with an accumulation for  $\text{NO}_3^-$  below the oxycline and of  $\text{NO}_2^-$  in anoxic waters. In the ETNP (Fig. 2, upper panels), the  $\text{NO}_2^-$  accumulation (and secondary drop in  $\text{NO}_3^-$ ) was below the *Prochlorococcus* SCM, while in the ETSP (Fig. 2, lower panels), the SCM was small and  $\text{NO}_2^-$  accumulated (and  $\text{NO}_3^-$  decreased) within the



**Fig. 2.** Examples of environmental conditions of microbial communities inhabiting the SCM in the ODZs from the ETNP (top panels) and ETSP (bottom panels). Panels (A) and (D) show oxygen and fluorescence (in relative units, ru) profiles (CTD + fluorometer). Panels (B) and (E) show nitrate and nitrite profiles measured in continuous flow coupling the PPS and an autoanalyzer (B) and a high-resolution sampling (E). Panels (C) and (F) show cell count ( $\times 10^3 \text{ cell mL}^{-1}$ ) profiles of picophytoplankton components obtained by a high-resolution sampling analyzed by flow cytometry. Dark green circles indicate *Prochlorococcus*, light green triangles indicate PPE, and orange squares represent *Synechococcus*-like.

SCM. Nutrient concentrations within the PCM and SCM for all station sampled are shown in Table 2.

#### Flow cytometric analysis of picoplankton composition

Flow cytometric analysis showed that the picophytoplanktonic communities in anoxic subsurface waters differed consistently from those in oxic surface waters in both abundance

and composition (see examples in Fig. 2C,F): picocyanobacteria were numerically dominant in the PCM, while PPEs were also present with abundances ranging between 800 and 96,000 cells  $\text{mL}^{-1}$  (Supporting Information Table S1). In contrast, SCM communities were mainly composed of *Prochlorococcus* cells and to a lesser extent of *Synechococcus*-like cells (yellow/orange fluorescent), with almost no detectable PPE

**Table 1.** Flow cytometric analysis of the microbial community at the peak depth of the SCM for the ETNP and ETSP, including: cruise name; station; position (latitude and longitude); peak depth of the SCM reported in meters; the percent of incident light at the SCM peak depth (light%); *Prochlorococcus* (*Pro*), *Synechococcus*-like (*Syn*), PPE, fluorescent picoplankton (Fluor. Picoplank. = *Pro* + *Syn* + PPE), NFP, total picoplanktonic community (Total Picoplank. = *Pro* + *Syn* + PPE + NFP) abundances ( $10^3$  cells  $\text{mL}^{-1}$ ); *Prochlorococcus* relative abundance (in %) to the fluorescent picoplankton and to the total picoplankton; fluorescence of SCM relative to PCM. ND indicates none were detected.

Cruise	Station	Lat. (N)	Long. (W)	Peak depth (m)	Light (%)	<i>Pro</i> ( $\times 10^3$ cells $\text{mL}^{-1}$ )	<i>Syn</i> ( $\times 10^3$ cells $\text{mL}^{-1}$ )	PPE ( $\times 10^3$ cells $\text{mL}^{-1}$ )	Fluor. Picoplank. ( $\times 10^3$ cells $\text{mL}^{-1}$ )	NFP ( $\times 10^3$ cells $\text{mL}^{-1}$ )	Total picoplankton ( $\times 10^3$ cells $\text{mL}^{-1}$ )	Pro Rel. Ab. to Fluor. Picoplank. (%)	Pro Rel. Ab. to Total of SCM (%)
ETNP													
NH1410	T9	18.200	105.199	90	1.39	70	7.4	0.09	77.5	476	553	90	12.7
RB1603	6	18.688	104.417	90	0.01	53	1.3	0.00	54.3	372	426	98	12.4
RB1603	7	17.500	102.700	93	0.02	78	2.0	0.00	80.0	664	744	98	10.5
RB1603	8	16.251	100.843	97	ND	58	1.8	0.00	59.8	586	646	97	9.0
RB1603	9	15.000	99.000	98	ND	40	0.9	0.00	40.9	511	552	98	7.2
RB1603	10	15.471	101.503	128	ND	13	0.5	0.00	13.5	671	684	96	1.9
RB1603	11	15.903	103.800	115	ND	70	2.1	0.00	72.1	421	493	97	14.2
RB1603	12	16.316	106.092	156	ND	22	0.2	0.00	22.2	402	424	99	5.2
RB1603	13	16.778	108.397	160	ND	7	0.2	0.00	7.2	398	405	97	1.7
Min	—	—	—	90	—	7	0.2	0.00	7.2	372	405	90	1.7
Max	—	—	—	160	—	78	7.4	0.09	80.0	671	744	99	14.2
Average	—	—	—	114	—	46	1.8	0.01	47.5	500	548	97	8.3
SD	—	—	—	28	—	26	2.2	0.03	27.9	116	123	2	4.6
ETSP													
NBP1305	H9	13.002	82.199	110	0.35	17	0.5	0.00	17.5	673	691	97	2.5
NBP1305	H21	21.500	70.582	79	0.69	7	0.7	0.00	7.7	436	444	91	1.6
NBP1305	B82	20.526	70.712	80	0.31	13	1.8	0.04	14.8	896	911	88	1.4
AT2626	1	20.002	74.005	105	0.01	15	2.0	0.00	17.0	217	234	88	6.4
AT2626	3	18.798	76.200	98	0.01	144	37.6	3.98	185.6	5220	5406	78	2.7
AT2626	8	11.502	81.411	112	0.01	98	1	0.00	99.0	661	760	99	12.9
AT2626	9	11.999	84.001	130	ND	24	2	0.00	26.0	329	355	92	6.8
AT2626	10	11.998	81.198	110	0.07	46	1	0.82	47.8	196	244	96	18.9
AT2626	12	12.545	77.593	127	ND	28	0.2	0.00	28.2	497	525	99	5.3
AT2626	14	14.198	77.499	78	ND	18	1.1	0.00	19.1	492	511	94	3.5
AT2626	18	15.591	75.335	68	0.05	20	1.0	0.00	21.0	878	899	95	2.2
Min	—	—	—	68	—	7	0.2	0.00	7.7	196	234	78	1.4
Max	—	—	—	130	—	144	37.6	3.98	185.6	5220	5406	99	18.9
Average	—	—	—	100	—	39	4.4	0.44	44.0	954	998	93	5.8
SD	—	—	—	21	—	43	11.0	1.20	53.3	1434	1481	6	5.5

**Table 2.** Nutrient analysis at the peak depth of the PCM and SCM for stations sampled in the ETNP and ETSP. Cruise name, station, peak depth, and  $\text{NH}_4^+$ , urea,  $\text{NO}_3^-$  and  $\text{NO}_2^-$  concentrations. NA indicates no available data and bdl is below detection limit.

Cruise	Station	PCM					SCM				
		Peak depth (m)	NH <sub>4</sub> <sup>+</sup> (nmol L <sup>-1</sup> )	Urea (μmol L <sup>-1</sup> )	NO <sub>3</sub> <sup>-</sup> (μmol L <sup>-1</sup> )	NO <sub>2</sub> <sup>-</sup> (μmol L <sup>-1</sup> )	Peak depth (m)	NH <sub>4</sub> <sup>+</sup> (nmol L <sup>-1</sup> )	Urea (μmol L <sup>-1</sup> )	NO <sub>3</sub> <sup>-</sup> (μmol L <sup>-1</sup> )	NO <sub>2</sub> <sup>-</sup> (μmol L <sup>-1</sup> )
ETNP	T9	40	191.9	NA	NA	NA	90	63.0	NA	NA	NA
	6	30	14.6	NA	0.1	0.0	90	bdl	NA	28.2	0.0
	7	49	25.7	bdl	5.4	0.8	93	bdl	bdl	26.5	0.4
	8	35	bdl	bdl	0.1	0.2	97	bdl	NA	29.4	0.2
	9	45	70.7	0.01	0.0	0.5	98	72.4	0.22	27.6	1.0
	10	76	9.4	0.10	0.1	0.0	128	11.0	1.02	26.8	0.2
	11	78	bdl	bdl	0.1	0.1	115	bdl	bdl	24.9	0.2
	12	95	bdl	0.07	0.0	0.1	156	bdl	bdl	26.8	0.2
	13	69	bdl	bdl	0.1	0.1	160	bdl	bdl	26.2	0.1
	Min	30	bdl	bdl	0.0	0.0	90	bdl	bdl	24.9	0.0
	Max	95	191.9	0.10	5.4	0.8	160	72.4	1.02	29.4	1.0
	Average	57	36.2	0.06	0.7	0.2	114	18.4	0.62	27.0	0.3
	SD	23	62.3	0.05	1.9	0.3	28	28.3	0.57	1.3	0.3
ETSP	H9	25	225.5	NA	1.5	0.1	110	bdl	NA	9.9	8.3
	H21	23	504.4	NA	2.9	0.1	79	88.4	NA	8.2	5.1
	BB2	24	570.0	NA	3.9	0.2	80	63.0	NA	10.9	3.9
	1	41	108.2	NA	NA	1.0	105	56.7	NA	NA	0.4
	3	35	513.2	bdl	NA	0.3	98	22.8	bdl	14.6	0.1
	8	40	668.7	bdl	8.8	2.0	112	bdl	bdl	24.2	0.5
	9	34	598.7	bdl	5.5	0.5	130	bdl	bdl	29.3	0.2
	10	50	237.9	bdl	15.4	0.3	110	bdl	bdl	17.8	5.2
	12	25	567.7	bdl	4.6	0.1	127	11.1	bdl	25.6	1.5
	14	20	476.3	bdl	1.0	0.2	78	22.2	bdl	20.6	1.2
	18	29	822.7	bdl	7.1	1.6	68	24.1	bdl	5.0	11.4
	Min	20	108.2	bdl	1.0	0.1	68	bdl	bdl	5.0	0.1
	Max	50	822.7	bdl	15.4	2.0	130	88.4	bdl	29.3	11.4
Average	31	481.2	bdl	5.6	0.6	100	27.5	bdl	16.6	3.4	
SD	9	211.2	bdl	4.4	0.7	21	29.2	bdl	8.2	3.8	

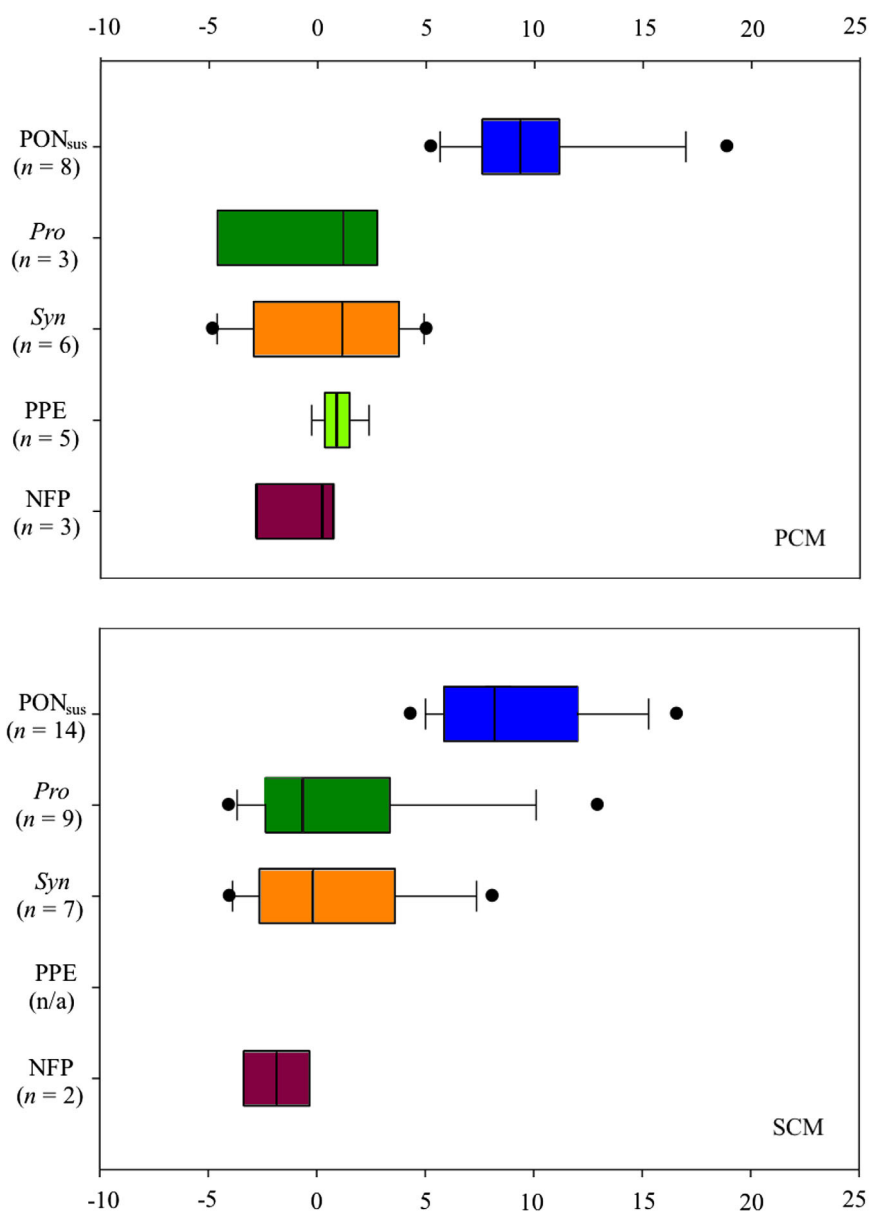


(Table 1; Supporting Information Fig. S2). The abundance of *Prochlorococcus* at the peak of the SCM varied among stations (Table 1). On average, the abundance of *Prochlorococcus* was  $46 \times 10^3$  cells mL<sup>-1</sup> (SD =  $26 \times 10^3$  cells mL<sup>-1</sup>) for the ETNP and  $39 \times 10^3$  cells mL<sup>-1</sup> (SD =  $43 \times 10^3$  cells mL<sup>-1</sup>) for the ETSP, representing 8.3% and 5.8% of the total picoplanktonic community respectively. Moreover, *Prochlorococcus* represented 97% and 93% of the total fluorescent picoplankton for the ETNP and ETSP, respectively (Table 1). Despite this variability, *Prochlorococcus* were always one order of magnitude more abundant than *Synechococcus*-like within the SCM. The remaining 91.7–94.2% of the picoplankton community is referred to as

nonfluorescent picoplankton (NFP) and is composed of heterotrophic or chemoautotrophic bacteria and archaea.

### $\delta^{15}\text{N}$ of PON<sub>sus</sub> and sorted components of the PCM and SCM picoplanktonic community

The natural abundance  $\delta^{15}\text{N}$ -PON<sub>sus</sub> in both the PCM and SCM showed a high variability ranging from 5.8‰ to 18.9‰ in the PCM and from 4.3‰ to 16.6‰ in the SCM (Fig. 3). These  $\delta^{15}\text{N}$  values were always positive, and, in the case of the SCM, far from the  $\delta^{15}\text{N}$  previously measured for NO<sub>2</sub><sup>-</sup> (negative) (Table 3), but in some cases as high as those reported for NO<sub>3</sub><sup>-</sup> (Table 3; Peters et al. 2018).



**Fig. 3.** Boxplot of the  $\delta^{15}\text{N}$  natural abundance from PON<sub>sus</sub> (0.3–3.0  $\mu\text{m}$  size fraction) and the  $\delta^{15}\text{N}$  of sorted picoplankton components from the PCM (top panel) and SCM (bottom panel): *Prochlorococcus* (*Pro*) and *Synechococcus*-like (*Syn*), PPE, and NFP. *n* is the number of measurements per group and n/a indicates that data were not available.

**Table 3.**  $\delta^{15}\text{N}$  (‰) for different dissolved N sources in the ODZs and kinetic isotope effect  $\epsilon$  (‰) during the assimilation of these sources.

N source	$\delta^{15}\text{N}$ (‰)	Study area	Reference	$\epsilon_{\text{assim}}$ (‰)	Reference
Nitrate	12.4 ± 0.6 and 17.2 ± 0.6 (ETNP) 8.2–30.1 (ETSP)	TOP ODZ	Casciotti and McIlvin (2007) (ETNP) K. Casciotti pers. comm. (ETSP)	2–5	Granger et al. (2010)
Nitrite	−16.0 ± 2.6 and −17.8 ± 0.9	TOP ODZ ETNP	Casciotti and McIlvin (2007)	1	Sigman and Casciotti (2001)
Ammonium*	Estimated by ~7.1 ± 4.2 (PON <sub>sus</sub> ) Estimated by ~5.1 (PON <sub>sk</sub> )	TOP ODZ ETNP/ETSP	This study Fuchsman et al. (2018) (ETNP)	20	Waser et al. (1998)
Urea*	Estimated by ~7.1 ± 4.2 (PON <sub>sus</sub> ) Estimated by ~5.1 (PON <sub>sk</sub> )	TOP ODZ ETNP/ETSP	This study Fuchsman et al. (2018) (ETNP)	0–0.7	Waser et al. (1998)

\*No measurements are available in literature. The estimations are on base of the average obtained by suspended PON in the SCM (PON<sub>sus</sub> ~ 10‰) and sinking PON (PON<sub>sk</sub> ~ 8.1‰) minus  $\epsilon < 3\text{‰}$  estimated for degradation (Sigman and Casciotti 2001) More details are available in Supporting Information Table S1.

Using a flow cytometer cell sorter, we were able to identify and sort different groups comprising the picophytoplanktonic community inhabiting the SCM. Once the groups were identified, we sorted them and analyzed them to obtain the natural abundance  $\delta^{15}\text{N}$  for each group (Fig. 3; see summary of the oceans, cruises, stations, and chlorophyll peaks of the samples considered in this study and the isotopic analyses available in each one in Supporting Information Table S2). The values of  $\delta^{15}\text{N}$  for sorted *Prochlorococcus* and *Synechococcus*-like also showed a high variability among different stations in both the PCM and SCM (Fig. 3 and Supporting Information Table S2). *Prochlorococcus* variability in the SCM was 23% higher than the variability shown by PON<sub>sus</sub> (the total seston community from which *Prochlorococcus* was sorted) whereas *Synechococcus*-like variability was 8% lower. The  $\delta^{15}\text{N}$  for *Prochlorococcus* inhabiting the SCM ranged from −4.0‰ to 13.0‰ (Fig. 3). However, the distribution of the data shows that 50% of the values ( $n = 9$ ) ranged from −2.1‰ to 2.6‰, with a median of −0.6‰ and an average of 1.2‰. In the case of *Synechococcus*-like,  $\delta^{15}\text{N}$  values presented a similar distribution to *Prochlorococcus*, with total values ranging from −4.0‰ to 8.1‰ and 50% of the data ( $n = 7$ ) were distributed between −1.9‰ and 2.9‰, with a median of −0.2‰ and an average of 0.9‰. Despite the similar distribution, the highest value of  $\delta^{15}\text{N}$  for *Synechococcus*-like was not as high as the highest  $\delta^{15}\text{N}$  value for *Prochlorococcus* (Fig. 3). The multiple-pairwise comparisons among  $\delta^{15}\text{N}$ -PON<sub>sus</sub>,  $\delta^{15}\text{N}$ -*Pro*, and  $\delta^{15}\text{N}$ -*Syn* for the SCM indicate that there are significant differences between  $\delta^{15}\text{N}$ -PON<sub>sus</sub> vs.  $\delta^{15}\text{N}$ -*Pro* and  $\delta^{15}\text{N}$ -PON<sub>sus</sub> vs.  $\delta^{15}\text{N}$ -*Syn* at a 95% confidence level. However, no significant differences were found between  $\delta^{15}\text{N}$ -*Pro* vs.  $\delta^{15}\text{N}$ -*Syn* (Supporting Information Table S3). Only three measurements of  $\delta^{15}\text{N}$  were available for *Prochlorococcus* inhabiting the PCM, and these measurements present no significant differences with the measurements available for *Prochlorococcus* inhabiting the SCM (Supporting Information Table S4). PPEs were only found in the PCM and exhibited  $\delta^{15}\text{N}$  values ranging from −0.3‰ to 2.4‰, while 50% of the data ( $n = 5$ ) ranged from 0.5‰ to 1.2‰. Few measurements for  $\delta^{15}\text{N}$ -NFP were

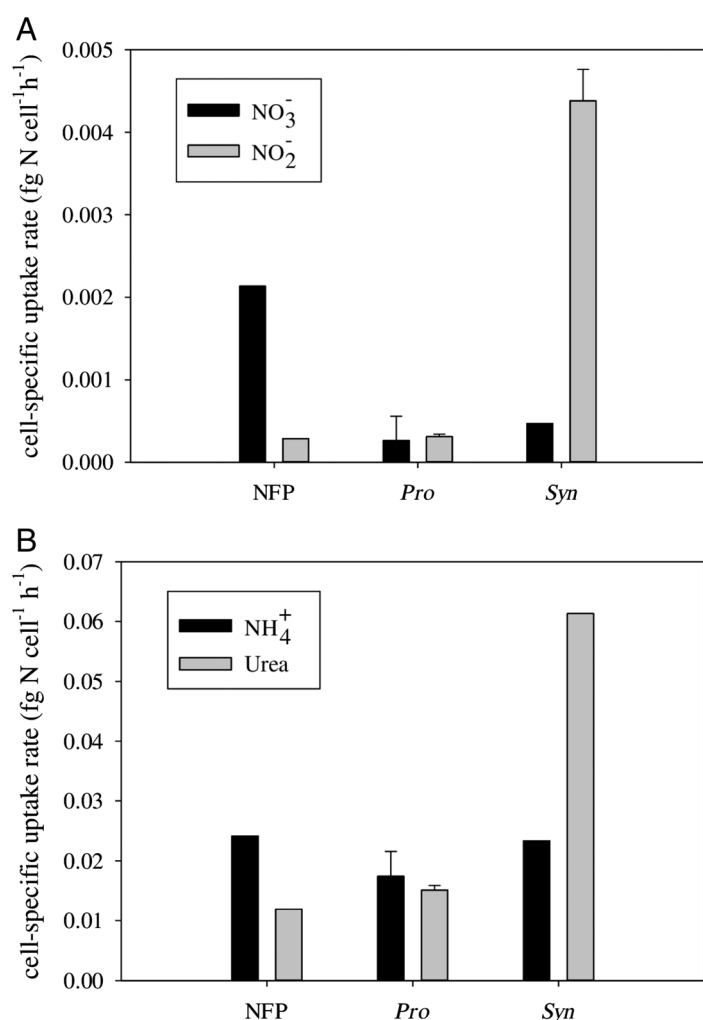
obtained from the PCM and SCM, with average values of −0.9 ( $n = 3$ ) and −1.8 ( $n = 2$ ), respectively. The NFP was not included in the statistical analysis due to the low number of measurements available for this group.

### Nitrogen assimilation rates

All N uptake rates were above the detection limit (for detection limit results, see Supporting Information Table S5). In the case of the oxidized N forms (Fig. 4A; Table 4), *Prochlorococcus* showed the lowest cell-specific uptake rates of oxidized N forms while NFP showed a preference for  $\text{NO}_3^-$ , assimilating up to 7.1 times more  $\text{NO}_3^-$  than  $\text{NO}_2^-$ . This rate was approximately 7.6 times higher than the  $\text{NO}_3^-$  uptake rates calculated for *Prochlorococcus* and almost 4.3 times higher than rates calculated for *Synechococcus*-like. *Prochlorococcus* showed very low uptake rates for both  $\text{NO}_2^-$  and  $\text{NO}_3^-$ . In contrast, while  $\text{NO}_3^-$  uptake rates by *Synechococcus*-like were low,  $\text{NO}_2^-$  uptake rates were 11.4 and 12.5 times higher than those observed for *Prochlorococcus* and NFP, respectively.

The potential cell-specific uptake rates of reduced N forms (Fig. 4B; Table 4) show that NFP and *Prochlorococcus* have higher uptake rates for  $\text{NH}_4^+$  compared with urea. In the case of NFP, uptake of  $\text{NH}_4^+$  exceeded uptake of urea by 2.0-fold, while in *Prochlorococcus*  $\text{NH}_4^+$  uptake exceeded urea uptake by only 1.2-fold. *Synechococcus*-like showed a strong preference for urea uptake and rates were 5.2 times the urea uptake rates measured for NFP and 4.1 times those of *Prochlorococcus*.

We also estimated the group-specific uptake rates ( $\text{ng N L}^{-1} \text{ d}^{-1}$ ) by multiplying the cell-specific uptake rates ( $\text{fg N cell}^{-1} \text{ h}^{-1}$ ) by the average in situ abundance of each group ( $\text{cells L}^{-1}$ ) observed in the SCM (Table 4). As the NFP were the most abundant group ( $5.39 \times 10^8 \text{ cell L}^{-1}$ ) compared with *Prochlorococcus* ( $5.53 \times 10^7 \text{ cell L}^{-1}$ ) and *Synechococcus*-like ( $1.05 \times 10^7 \text{ cell L}^{-1}$ ), they dominated uptake rates for all forms of N measured (Table 4). The uptake rates of oxidized forms of N were  $14.07 \text{ ng N L}^{-1} \text{ d}^{-1}$  for  $\text{NO}_3^-$  and  $1.86 \text{ ng N L}^{-1} \text{ d}^{-1}$  for  $\text{NO}_2^-$ , 2–3 orders of magnitude higher



**Fig. 4.** Cell-specific uptake rates (fg N cell<sup>-1</sup> h<sup>-1</sup>) for NFP, *Prochlorococcus* (Pro), and *Synechococcus*-like (Syn) collected from the SCM: (A)  $\text{NO}_3^-$  and  $\text{NO}_2^-$  uptake and (B)  $\text{NH}_4^+$  and urea uptake.

than the uptake rates measured for *Prochlorococcus* and *Synechococcus*-like groups. The potential uptake rates of reduced forms of N by NFP were 313.74 ngNL<sup>-1</sup> d<sup>-1</sup> for  $\text{NH}_4^+$  and 154.84 ngNL<sup>-1</sup> d<sup>-1</sup> for urea, 1–2 orders of magnitude higher than those estimated for *Prochlorococcus* and *Synechococcus*-like. For *Prochlorococcus*, the potential uptake rates for  $\text{NH}_4^+$  and urea were similar to each other, at 23.23 ngNL<sup>-1</sup> d<sup>-1</sup> and 20.16 ngNL<sup>-1</sup> d<sup>-1</sup>, respectively. *Synechococcus*-like had a potential uptake rates similar to *Prochlorococcus* for urea (15.53 ngNL<sup>-1</sup> d<sup>-1</sup>) but lower for  $\text{NH}_4^+$  (5.91 ngNL<sup>-1</sup> d<sup>-1</sup>).

#### $\delta^{15}\text{N}$ vs. environmental variables

Pearson correlation analyses revealed a strong negative correlation between the  $\delta^{15}\text{N}$  of *Prochlorococcus* and  $\text{NO}_3^-$  concentrations ( $r = -0.82$ ;  $p$  value = 0.01) and positive correlation with  $\text{NH}_4^+$  concentrations ( $r = 0.72$ ;  $p$  value = 0.03) (Supporting Information Table S6). However, there were no significant correlations between  $\delta^{15}\text{N}$  of *Prochlorococcus* and percent light or  $\text{NO}_2^-$  concentrations. There were no significant correlations between the  $\delta^{15}\text{N}$  of *Synechococcus*-like inhabiting the SCM and any of the tested variables.

## Discussion

### Oceanographic conditions and SCM

Previous studies that analyzed SCMs found conditions within the range observed here (Lavin et al. 2010; Garcia-Robledo et al. 2017; Widner et al. 2018a,b). Dissolved oxygen shows saturation conditions at the surface layer, followed by a pronounced oxycline. Between 62 and 130 m depth (depending on the station) oxygen decreases to undetectable levels. Below this depth, a SCM develops in oxygen-depleted and  $\text{NO}_3^-$  rich conditions, but with low concentrations of reduced N as  $\text{NH}_4^+$  or urea (Table 2; Fig. 2).

**Table 4.** Cell-specific (fg N cell<sup>-1</sup> h<sup>-1</sup>) and group-specific (ng N L<sup>-1</sup> d<sup>-1</sup>) uptake rates of oxidized ( $\text{NO}_3^-$  and  $\text{NO}_2^-$ ) and reduced ( $\text{NH}_4^+$  and urea) N forms measured in this study for each group of sorted cells: NFP, *Prochlorococcus* (Pro), and *Synechococcus*-like (Syn). Group-specific uptake rates were calculated multiplying the cell-specific uptake rates (fmol cell<sup>-1</sup> d<sup>-1</sup>) by the in situ average abundance of cells of each group (cell L<sup>-1</sup>).

Group	Cell-specific uptake rates (fg N cell <sup>-1</sup> h <sup>-1</sup> )				Group-specific uptake rates (ng N L <sup>-1</sup> d <sup>-1</sup> )			
	$\text{NO}_3^-$	$\text{NO}_2^-$	$\text{NH}_4^{+*}$	Urea*	$\text{NO}_3^-$	$\text{NO}_2^-$	$\text{NH}_4^{+*}$	Urea*
NFP	0.0022	0.0003	0.0243	0.0120	14.07	1.86	313.74	154.84
Pro	0.0003	0.0003	0.0175	0.0152	0.18	0.21	23.23	20.16
	SD = 0.0003	SD = 0.00003	SD = 0.0042	SD = 0.0008	SD = 0.19	SD = 0.02	SD = 5.52	SD = 1.04
	n = 4	n = 2	n = 2	n = 2	n = 4	n = 2	n = 2	n = 2
Syn	0.0005	0.0044	0.0235	0.0616	0.06	0.56	5.91	15.53
		SD = 0.0004				SD = 0.05		
		n = 2				n = 2		

\*Tracer additions resulted in initial enrichments > 50%, rates should be considered as potential uptake.

*Prochlorococcus* and *Synechococcus*-like numerically dominated the SCM picophytoplankton community and there were almost no detectable PPE. Apparently this pattern is a persistent characteristic of this particular environment as shown by the few previous studies in ODZs (Lavin et al. 2010; Astorga-Eló et al. 2015; Garcia-Robledo et al. 2017). The relative abundance of *Prochlorococcus* compared to the total picoplanktonic community was consistent with previously reported ranges (> 5.3%) (Lavin et al. 2010). Although the lineages of *Prochlorococcus* and *Synechococcus*-like composing the SCM community were not analyzed as part of this study, they have been previously identified using cloning and sequencing, and terminal restriction fragment length polymorphism analyses applied to the 16S–23S rRNA internal transcribed spacer region (Lavin et al. 2010). Lavin and colleagues found that > 90% of total *Prochlorococcus* in the SCM was composed by the lineage LLIV and two novel lineages termed LLV and LLVI. On other hand, *Synechococcus* was mainly represented by clade I and VI, but with very low abundances.

### Assimilative N metabolism in ODZ picocyanobacteria

#### Natural abundance samples

The measurements of  $\delta^{15}\text{N-NO}_3^-$  reported in the literature for the ODZs range from 12.4‰ to 17.2‰, and from –16.0‰ to –17.8‰ for  $\delta^{15}\text{N-NO}_2^-$ , showing a difference up to 35‰ between both nitrogen sources (Casciotti and McIlvin 2007). These differences are considered a consequence of isotope fractionation during the processes of denitrification (Casciotti and McIlvin 2007) and nitrite oxidation (Peters et al. 2016).  $\text{NH}_4^+$  and urea exhibit very low (nanomolar) concentrations in the ocean (for our study sites, see Table 2) and it has not been possible to measure their  $\delta^{15}\text{N}$  to date, as the most sensitive methods require concentrations of at least  $0.5 \mu\text{mol L}^{-1}$  (Zhang et al. 2007). However,  $\text{NH}_4^+$  and urea would have an estimated value close to 7‰ (min = 1.3‰; max = 14.4‰; SD = 4.2‰) considering the average of 10‰ for  $\text{PON}_{\text{sus}}$  in the SCM (Supporting Information Table S7) or close to 5.1‰ if the  $\text{NH}_4^+$  and urea come mainly by the degradation of sinking material as fecal pellets and/or marine snow ( $\text{PON}_{\text{sk}} \sim 8.1\%$  in the ODZ; Fuchsman et al. 2018) (Table 3).

The  $\delta^{15}\text{N-PON}_{\text{sus}}$  for the SCM samples was always positive, with values ranging from 4.3‰ to 16.6‰ (Fig. 3). The explanation for these relative high  $\delta^{15}\text{N}$  could be the result of the assimilation of high  $\delta^{15}\text{N}$  (as  $\text{NO}_3^-$ ) by the community composing the SCM or the release of low  $\delta^{15}\text{N}$  (as the case of anammox or denitrification). Thus, the  $\delta^{15}\text{N-PON}_{\text{sus}}$  for the SCM represents a mixture of the  $\delta^{15}\text{N}$  of diverse source pools, both living and nonliving. The relative contributions of the different components vary among stations, with  $\delta^{15}\text{N}$  values that can be low for some components and high for others.

Another source of variability of  $\delta^{15}\text{N-PON}_{\text{sus}}$  from SCMs at different stations may due to the potential variability in the composition of NFP. Although we identified a very abundant and consistent picophytoplankton component (*Prochlorococcus*

and *Synechococcus*-like) in samples collected from the SCM, NFP including heterotrophic or chemoautotrophic bacteria and archaea were far more abundant and may vary greatly in functional composition in SCM samples from different stations (e.g., ETNP/ETSP or coastal/oceanic). Differences in the bacterial or archaeal composition may be reflected in differences in the nutritional preferences of the dominant bacterial or archaeal members and this may be reflected in their  $\delta^{15}\text{N}$ . As the  $\delta^{15}\text{N-PON}_{\text{sus}}$  in the SCM presented much higher values than their components (Fig. 3), part of the variability presented and heavy isotopic signature could also be due to the contribution of nonliving particulate material sinking from the surface with high  $\delta^{15}\text{N}$ . That could be the case for stations sampled in the ETSP, where measurements of  $\delta^{15}\text{N-PON}_{\text{sus}}$  for the SCM exceeding by 6.5‰, 10.0‰, 3.3‰, and 3.5‰ the values measured for the  $\delta^{15}\text{N-PON}_{\text{sus}}$  in the PCM (Supporting Information Table S7, bold font). Thus, sinking particles can be experiencing degradation by both bacteria and zooplankton, which preferentially degrade low  $\delta^{15}\text{N-PON}$  to  $\text{NH}_4^+$ , leaving the residual PON enriched in  $^{15}\text{N}$ . Accordingly, these results emphasize the importance of the use of flow cytometry cell sorting to distinguish the living and nonliving components of the community and their contribution to the bulk  $\delta^{15}\text{N}$  signature.

The  $\delta^{15}\text{N}$  observed for sorted *Prochlorococcus* cells from the SCM ranged from –4.0‰ to 13.0‰ (range = 17‰), higher than the range previously observed for *Prochlorococcus* cells from the Sargasso Sea with values ranging from –4‰ to –1‰ (range = 3‰) (Fawcett et al. 2011). The smaller range in  $\delta^{15}\text{N}$  values from the Sargasso Sea *Prochlorococcus* group may be due to a more stable nutrient environment relative to an ODZ SCM. *Prochlorococcus* from the Sargasso Sea preferentially assimilates recycled N sources such as  $\text{NH}_4^+$  or amino acids (Fawcett et al. 2011). The highest  $\delta^{15}\text{N}$  observed in ODZ *Prochlorococcus* was only seen once in a coastal station in the ETSP (cruise NBP1305, Sta. BB2) and may have been due to use of  $\text{NO}_3^-$  (Table 3) as the main N source. The lowest  $\delta^{15}\text{N}$  value (–4.0‰) for *Prochlorococcus* was observed at a more oceanic station in the ETSP (cruise AT2626, Sta. 8). Since neither *Prochlorococcus* nor *Synechococcus* has the genes to fix  $\text{N}_2$  (Latysheva et al. 2012), low  $\delta^{15}\text{N}$  values could be evidence of  $\text{NO}_2^-$  assimilation, as  $\text{NO}_2^-$  is the only N source with negative  $\delta^{15}\text{N}$  values. Fifty percent of the *Prochlorococcus*  $\delta^{15}\text{N}$  values ranged from –2.1‰ to 2.6‰ (median of –0.6‰), suggesting that ODZ SCM *Prochlorococcus* is most likely assimilating a mixture of N sources with positive  $\delta^{15}\text{N}$  as ammonium, urea, and/or  $\text{NO}_3^-$ , while assimilation of N sources with negative  $\delta^{15}\text{N}$  such as  $\text{NO}_2^-$  may lower cellular  $\delta^{15}\text{N}$ . Similar to *Prochlorococcus*, *Synechococcus*-like cells isolated from the ODZ SCM had  $\delta^{15}\text{N}$  values ranging from –4.0‰ to 8.1‰ (range = 12.1) and had a broader range than values observed for Sargasso Sea *Synechococcus*, which ranged from –3‰ to –1‰ (range = 2) (Fawcett et al. 2011). Apparently both picocyanobacteria have similar nutritional preferences in the ODZ SCM.

No photosynthetic picoeukaryotes were observed in the ODZ SCM, while those found in the PCM presented  $\delta^{15}\text{N}$  values ranging from  $-0.3\text{‰}$  to  $2.4\text{‰}$ , with 50% of the data ( $n = 5$ ) ranging from  $0.5\text{‰}$  to  $1.2\text{‰}$ . These values are one-order of magnitude lower than those reported for PPE in the Sargasso Sea at 100 m depth ( $\delta^{15}\text{N} = 12.7\text{‰}$ ), where PPE seems to be assimilating upwelled  $\text{NO}_3^-$  from below the euphotic zone, but close to those reported for lower depths ( $\delta^{15}\text{N}$  between  $1\text{‰}$  and  $5\text{‰}$ ) where most phytoplankton growth is thought to be supported by  $\text{NH}_4^+$  (Fawcett et al. 2011).

The question remains whether the high variability  $\delta^{15}\text{N}$  of *Prochlorococcus* and *Synechococcus*-like might be explained by environmental factors such as light and/or nutrient concentration. It can be hypothesized that higher light availability might increase the probability that *Prochlorococcus* uses  $\text{NO}_3^-$  because it would have more energy available needed for  $\text{NO}_3^-$  assimilation. However, there was no significant correlation of  $\delta^{15}\text{N}$  with light percentage, but instead a significant negative correlation with  $\text{NO}_3^-$  and positive correlations with  $\text{NH}_4^+$  (Supporting Information Table S6). A negative correlation

**Table 5.** Comparison of cell-specific uptake rates ( $\text{fg N cell}^{-1} \text{h}^{-1}$ ) by picophytoplankton in various regions of the ocean. ND indicates it was not detected.

Microorganism	Cell-specific uptake rate ( $\text{fg N cell}^{-1} \text{h}^{-1}$ )					Environment	Reference
	Urea	$\text{NH}_4^+$	$\text{NO}_3^-$	$\text{NO}_2^-$	Estimated total N rates*		
<i>Prochlorococcus</i>	0.0152	0.0175	0.0003	0.0003	—	ODZ	This study
<i>Synechococcus</i> -like	0.0616	0.0235	0.0005	0.0044	—	ODZ	This study
NF picoplankton	0.0120	0.0243	0.0022	0.0003	—	ODZ	This study
Picophytoplankton	—	—	—	—	0.0711	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.0326	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.1304	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	ND	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.6521	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.2304	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.2642	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.3098	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.2260	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.6711	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.2785	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
Picophytoplankton	—	—	—	—	0.2144	SCM ODZ ETNP	Garcia-Robledo et al. (2017)
<i>Prochlorococcus</i>	—	—	—	—	0.0150	ST. ALOHA, 75 m	Björkman et al. (2015)
<i>Prochlorococcus</i>	—	—	—	—	0.0650	ST. ALOHA, 75 m	Björkman et al. (2015)
<i>Prochlorococcus</i>	—	—	—	—	0.0038	North Atlantic, 60 m	Li (1994)
<i>Prochlorococcus</i>	—	—	—	—	0.0338	North Atlantic, 60 m	Li (1994)
<i>Prochlorococcus</i>	—	—	—	—	0.1013	North Atlantic, 1 m	Li (1994)
<i>Prochlorococcus</i>	—	—	—	—	0.1500	Northeast Atlantic, surface	Jardillier et al. (2010)
<i>Prochlorococcus</i>	—	—	—	—	0.0463	Atlantic Northern Gyre, 20 m	Hartmann et al. (2014)
<i>Prochlorococcus</i>	—	—	—	—	0.1063	Atlantic equatorial region, 20 m	Hartmann et al. (2014)
<i>Prochlorococcus</i>	—	—	—	—	0.0550	Atlantic Southern Gyre, 20 m	Hartmann et al. (2014)
<i>Prochlorococcus</i>	—	—	—	—	0.0625	Atlantic southern temperate waters, 20 m	Hartmann et al. (2014)
<i>Synechococcus</i>	—	—	—	—	0.0238	North Atlantic, 60 m	Li (1994)
<i>Synechococcus</i>	—	—	—	—	0.1025	North Atlantic, 60 m	Li (1994)
<i>Synechococcus</i>	—	—	—	—	0.9600	North Atlantic, 1 m	Li (1994)
<i>Synechococcus</i>	—	—	—	—	0.4250	Northeast Atlantic, surface	Jardillier et al. (2010)
<i>Synechococcus</i>	—	—	—	—	2.1375	Northeast Atlantic, surface	Jardillier et al. (2010)
<i>Synechococcus</i>	—	—	—	—	0.6188	Atlantic Northern Gyre, 20 m	Hartmann et al. (2014)
<i>Synechococcus</i>	—	—	—	—	0.8338	Atlantic equatorial region, 20 m	Hartmann et al. (2014)
<i>Synechococcus</i>	—	—	—	—	0.5675	Atlantic Southern Gyre, 20 m	Hartmann et al. (2014)
<i>Synechococcus</i>	—	—	—	—	0.2800	Atlantic Southern temperate waters, 20 m	Hartmann et al. (2014)

between  $\delta^{15}\text{N}$  of *Prochlorococcus* with  $\text{NO}_3^-$  concentration might be explained by the isotope discrimination during the  $\text{NO}_3^-$  assimilation. In general, at the top of the ODZ, where the SCM is developed, the  $\text{NO}_3^-$  concentration is always high, although variable among stations. At lower  $\text{NO}_3^-$  concentrations, the  $\text{NO}_3^-$  remaining would be enriched in  $^{15}\text{N}$  due to fractionation during assimilation, enriching the signal in *Prochlorococcus* that are still assimilating this  $\text{NO}_3^-$ . This possibility is supported by the results of  $\delta^{15}\text{N}$  for *Prochlorococcus* in the coastal Sta. BB2 where the SCM is shallower (68–88 m depth) and the concentration of  $\text{NO}_3^-$  is lower compared with the oceanic stations where the SCMs are found at greater depths with higher concentration of  $\text{NO}_3^-$  (see Supporting Information Fig. S3). In the case of *Synechococcus*-like,  $\delta^{15}\text{N}$  did not have any significant correlations with either the percentage of light or the concentrations of nutrients. In summary, our  $\delta^{15}\text{N}$  natural abundance data from sorted groups of *Prochlorococcus* and *Synechococcus*-like suggest that these groups are using a mixture of different sources of N, mainly as  $\text{NH}_4^+$  and urea, while in some instances  $\text{NO}_2^-$  or  $\text{NO}_3^-$  may be used preferentially to satisfy their N requirements.

### Nitrogen assimilation rates

The cell-specific uptake rates of oxidized and reduced N forms for the different sorted groups (*Prochlorococcus*, *Synechococcus*-like and NFP) were compared with total N uptake rates reported for picophytoplankton in several study sites (Table 5). The results indicate that *Prochlorococcus* and *Synechococcus*-like are using  $\text{NO}_3^-$  and  $\text{NO}_2^-$  in the SCM at extremely low rates for their N requirements and that the potential rates for  $\text{NH}_4^+$  and urea are comparable at least to some of the study sites listed in Table 5. It is also important to point out that this list in Table 5 shows high variability in the uptake rates (see Garcia-Robledo et al. 2017 for measurements for different stations in the SCM) and that our results are within this variability. The uptake rates obtained for the reduced N forms also support the data obtained for the natural abundance values of  $\delta^{15}\text{N}$ , where most of the natural abundance data for the picocyanobacteria were consistent with the  $\delta^{15}\text{N}$  of  $\text{NH}_4^+$ /urea or a mixture of these sources including  $\text{NO}_3^-$  and  $\text{NO}_2^-$ . However, the results here are not yet sufficient to provide understanding of what controls the use of the different N sources.

Our results indicate that although ODZ *Prochlorococcus* have retained the capacity to use  $\text{NO}_3^-$  (Astorga-Eló et al. 2015; Widner et al. 2018a), they appear not to rely on  $\text{NO}_3^-$  as a principal N source. This suggests that ODZ *Prochlorococcus* are not under the high pressure for genome streamlining that other picocyanobacterial lineages experience. These findings are consistent with the basal characteristic of ODZ *Prochlorococcus* lineages linking this group with marine *Synechococcus* (Lavin et al. 2010), which has also not experienced significant genome streamlining (Dufresne et al. 2005; Partensky and Garczarek 2010). Thus, the ecological and evolutionary basis for the apparently strong niche preference of the ODZ *Prochlorococcus*

for the low-light anoxic conditions of the SCM remains mysterious.

### Implications for the ODZs

The fate of all nitrogen sources taken up by cyanobacteria is to be metabolized to  $\text{NH}_4^+$ , which is finally incorporated into the carbon backbones through glutamine synthetase-glutamate synthetase pathway, a key step to conversion from inorganic N forms to organic N forms such as amino acids or nucleic acids (García-Fernández and Díez 2004; Flores and Herrero 2005). Since all N sources other than  $\text{NH}_4^+$  require intracellular conversion to  $\text{NH}_4^+$ , it is usually assumed that the most reduced forms of N ( $\text{NH}_4^+$ , urea, amino acids) will be the preferred N sources by cyanobacteria, as they require a lower energy expenditure for use. This is even more important in zones where the energy available is limited, as in the SCM (<0.1% of the incident light) and where the oxidized N sources are abundant and the reduced ones are scarce. Then the selection of one or another N source is a balance between the energy needed to utilize that source and N availability in the environment.

ODZs present an active N cycle where processes like denitrification, anammox, and nitrification are known for the use and/or production of different forms of N (Lam and Kuypers 2011; Stewart et al. 2012). The low  $\text{NH}_4^+$  concentrations have been explained by a coupling between the  $\text{NH}_4^+$  produced during organic matter respiration by heterotrophic denitrification and a high anammox activity that converts  $\text{NH}_4^+$  to  $\text{N}_2$  (Richards et al. 1965; Devol 2003). However, it has recently been demonstrated that ODZ *Prochlorococcus* can contribute to aerobic metabolisms in the ODZs, such as nitrification and aerobic organic matter oxidation, through cryptic  $\text{O}_2$  production in these zones (García-Robledo et al. 2017). In that study, (meta)transcriptional analysis indicated a low anammox activity (possibly inhibited by *Prochlorococcus*  $\text{O}_2$  production) and high  $\text{NO}_2^-$  and organic matter oxidation. These results can be interpreted as a possible  $\text{NH}_4^+$  production due to organic matter oxidation, a decreased  $\text{NH}_4^+$  consumption by the anammox bacteria and/or archaea (low transcripts), leaving available  $\text{NH}_4^+$  for *Prochlorococcus* assimilation with no accumulation of  $\text{NH}_4^+$  in the water column and explaining the high uptake rates of reduced N forms. However,  $\text{NH}_4^+$  is still scarce, so  $\text{NO}_2^-$ , which is most abundant in the SCM, could represent the source of N needed for at least a percentage of the group of *Prochlorococcus* inhabiting the ODZ. Therefore, the uptake of  $\text{NO}_2^-$  by *Prochlorococcus* would mean an eventual competition for this nutrient with the highly active nitrite oxidizing bacteria in the SCM (García-Robledo et al. 2017).

### Conclusions

In summary, our results suggest that ODZ *Prochlorococcus* cyanobacteria are using a mixture of reduced and oxidized forms of N to satisfy their requirements. Nevertheless when  $\text{NH}_4^+$  and urea are available, *Prochlorococcus* preferentially use

those nutrients even though their genetic repertoire permits the use of oxidized forms. The selection of reduced forms of N can be explained by the low energy needed to assimilate those N forms, important in the SCM ( $<0.1\%$  of the incident light), but not for the availability of these nutrients in the SCM since they are scarce in ODZ. Perhaps the oxygen production by *Prochlorococcus* is stimulating aerobic respiration by heterotrophic bacteria and producing the  $\text{NH}_4^+$  that *Prochlorococcus* needs with no accumulation in the SCM. Finally, our results suggest that ODZ picocyanobacteria might thus represent potential competitors with anammox bacteria or ammonia-oxidizing archaea for  $\text{NH}_4^+$  and/or with nitrite oxidizing bacteria for  $\text{NO}_2^-$ .

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#### Conflict of Interest

None declared.

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